

This document is classified as **White** in accordance with the Panel Information Policy. Information can be shared with the public, and any members may publish the information, subject to copyright.

Paper Reference:	SECP_115_2504_20
Action:	For Information
Acronyms and Defined Terms SEC Glossary	

Operations Report – March 2023

1. Purpose

The Operations Report is a monthly update on SECAS activities undertaken in support of the Smart Energy Code (SEC) during March 2023.

2. SECAS Service highlights from March 2023

This report covers the following notable highlights from the previous month:

- **Party Engagement** – we hosted a successful webinar on the key features of the SEC website on 15 March 2023.
- **Security and Privacy** – we published v2.10 of the Security Controls Framework (SCF), an updated version of the Standards and Best Practices review and the updated SSC Guidance for Device Security Assurance Parts 1,2 and 3.
- **Tech Ops** – we are working with the DCC to coordinate and prepare governance routes for the DSMS programme and 4G CH OMS and Logistics programmes. We also continue to support DCC with the Data Service Provider (DSP) programme.
- **Change Management** – we currently have 42 modifications open; more information on modifications and releases can be found in the SEC Change Update Report.
- **Committee Support** – we facilitated 17 Panel, Sub-Committee, Working Group meetings and webinars

3. Recommendation

The Panel is requested to **NOTE** the contents of this paper.

Charlotte Riddick; SECAS Team; 18 April 2023

Appendices

Appendix A – Post Commissioning Information Report (March 2023) (**RED**)

Appendix B – Programme Assurance Reporting (**GREEN**)

4. Market Entry, Exit and updates

4.1 SEC Parties

The following chart shows a breakdown of the number of [current SEC Parties](#) to the SEC by Voting Group. Excluding the DCC, there are currently 321 Parties and 262 Voting Groups. The full list of SEC Parties and their Voting Groups can be found in the [SEC Parties List](#).

SEC Parties can view all Parties, including Registration Data Providers (RDPs) and withdrawn / expelled Parties, in the [ID Allocation spreadsheet](#).

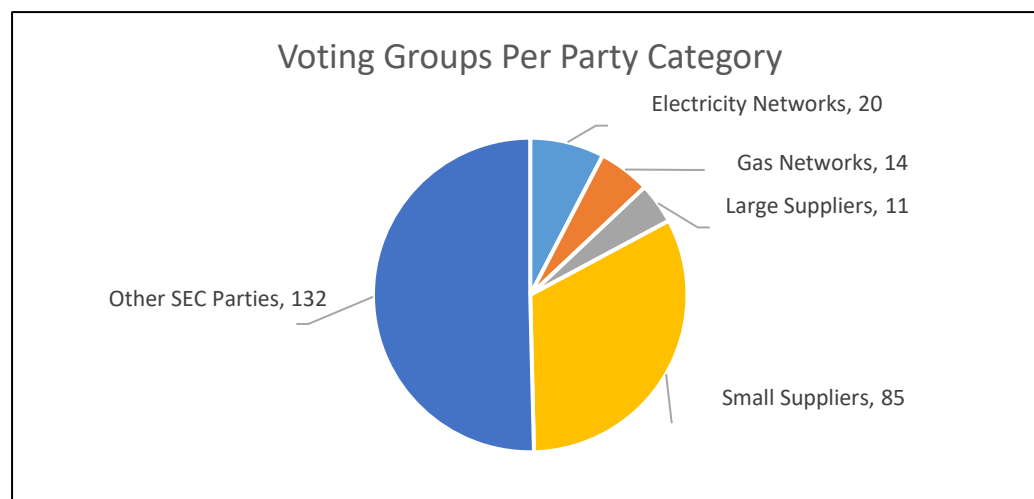


Figure 1: Breakdown of SEC Party Voting Groups by Party Category (excluding the DCC)

4.2 Market Entry and Exit

The following presents the number of Parties who entered and exited the market over the past 12 months. It also displays the Events of Default where one Party Defaulted in March 2023:

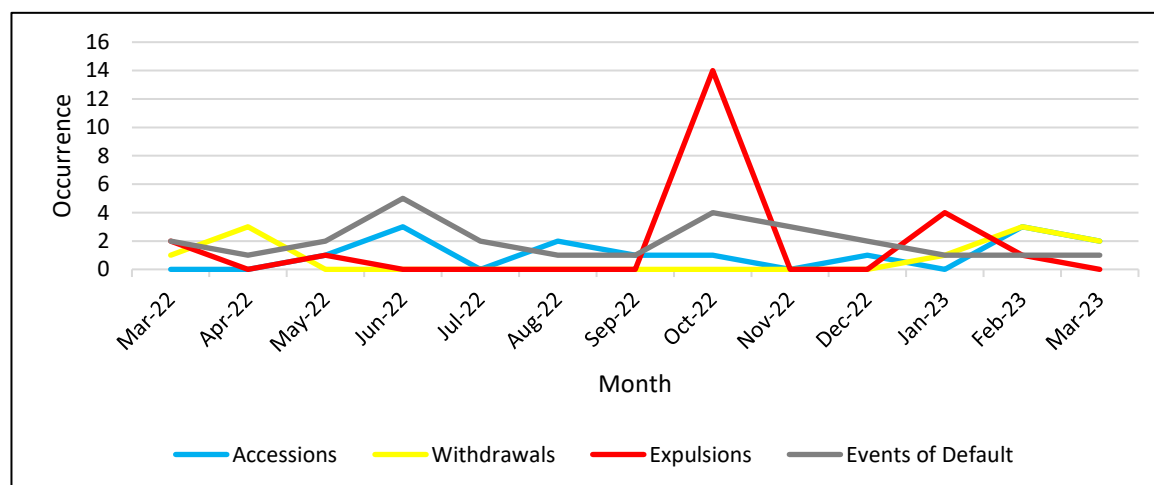


Figure 2: Accessions, withdrawals, expulsions and Events of Default in the past 12 months

In March 2023, two new Parties acceded to the SEC and two Parties withdrew from the SEC.

Status	Party Name	Party Category
Acceded	HCL Technologies UK Limited	Other SEC Parties
Acceded	Heatio Limited	Other SEC Parties

Status	Party Name	Party Category
Withdrawn	Npower Northern Supply Limited	Other SEC Parties
Withdrawn	Npower Yorkshire Supply Limited	Other SEC Parties

Table 1: Parties that entered and exited the SEC in the previous month

4.3 User Entry Process (UEP) completion

One new SEC Party completed the UEP in March 2023. All current Parties that have completed the UEP can be viewed in the [SEC Parties List](#). To date, 111 current Parties have completed the UEP, and a combined total of 60 current Electricity Networks, Large and Small Suppliers have not completed the UEP.

4.4 Testing Updates

Since the last Panel meeting:

- No new SEC Parties have completed **SMKI and Repository Entry Process Testing (SREPT)**;
- One new SEC Party has completed **User Entry Process Testing (UEPT)**; and
- No new SEC Parties have completed **SMETS1 Eligibility Testing**.

SEC Panel members can view the full list of testing completions [here](#).

5. Monthly SECAS Highlights

March 2023 Snapshot

Security Statistics		Modification Statistics		Committee Support	
100% Assessment compliance	2 SECAS Initial validations	2 New modifications raised	40 Modifications undergoing assessment	100% Meeting notice 5WD in advance	100% Papers issued 5WD in advance
11 Assurance or Compliance Status' set	5 Assessments underway	2 Modifications decided upon	1 Modification implemented	91% Minutes issued 5WD after meeting	11 Meetings held
Party Engagement		Technical Operations			
8 LinkedIn posts	1 UEP Completions	4 New CPL Versions	11 New CPL Entries		
2 Accessions	26 Website registrations	0 New PPCL / EPCL Versions	0 CPL Removals		

5.1 Security and Privacy Update

- We hosted two [Security Sub-Committee](#) (SSC) meetings in March; the monthly [Smart Metering Key Infrastructure Policy Management Authority](#) (SMKI PMA) and the monthly SSC CPA Issue Resolution Sub-Group (SCIRS) meeting.
- Following our notification to SEC parties in January to inform them that the pending removal of certain L+G and EDMT Devices from the Central Products List (CPL) due on 27 January 2023 had been deferred due to an identified customer impact, further information was sent to Parties regarding the deadlines to produce monthly Remediation Plans in line with their SEC Obligations. Initial Remediation plans and accompanying data was presented at the SSC meeting on 22 March and SSC will continue to monitor monthly updates in order to reach a decision regarding a removal date.
- On 13 March, v2.10 of the Security Controls Framework (SCF) was published on the SEC Website ([here](#)), and we notified SEC Parties via email. In addition, the updates SSC Guidance for Device Security Assurance Parts 1, 2 and 3 were also made available ([here](#)) as well as an updated version of the Standards and Best Practices review ([here](#)).
- As part of Live Services Criteria (LSC) arrangements for ECoS, SSC contacted Suppliers and Shared Resource Providers seeking confirmation on their preparedness to submit an updated Anomaly Detection Threshold (ADT) File following ECoS Go-Live. The responses to this request have been presented to the SSC, and the information provided will feed into the SSC recommendation to the Department for Energy Security and Net Zero on if the risks pertaining to ECoS Go-Live are acceptable.
- Progress continues to be made on the Security Architecture Document Review project with the Sub-Group providing regular feedback to the draft changes. The next review stages will cover updates to the sequence diagrams and the project is on track to present the final draft documents for SSC review in May.
- We issued an RFI to DCC Users requesting information regarding the use of a dataset described in SEC Section H8.16(c) to understand whether this metadata is being used or could be used and therefore what appropriate controls are needed to ensure compliance with SEC I1.4.
- On 2 March 2023, we facilitated a Threat Assessment workshop with DCC to consider the introduction of a monthly DCC Report to provide relevant Threat Intelligence for SSC on an ongoing basis. A draft report is due to be shared at the April SSC meeting,

5.2 Change Management Update

- At the end of March, we had 40 open proposals going through the modification framework. Two new proposals were raised in March, and we continue to work on several further new submissions from Proposers. Two modifications were closed (both approved). More detailed information on modification progression and the Change Sub-Committee (CSC) and Change Board's decisions is available in the SEC Change Update Report.
- The DCC raised [DP218 'Review of the SEC Charging Methodology'](#) to review the SEC charging arrangements. Following the ad-hoc Working Group meeting on 22 March 2023, we are working on further refining the issue statements and will be undertaking analysis with the DCC to fully understand the business case for taking these further into the process.
- [DP224 'SEC Performance Assurance Framework'](#) is progressing following the Panel's considerations in December of the work to date on introducing a Performance Assurance Framework (PAF). An RFI has been issued to seek views from the wider industry on the issue raised and further examples of incidents that a PAF could have supported with, which closed

on 6 April 2023. We are reviewing the responses received and will discuss these with the Working Group in May.

- We issued our latest Modcast at the end of March, which has been viewed 107 times on LinkedIn. We continue to welcome feedback on how we can further enhance these for Parties' benefit.

5.3 Committee Support Update

- In March, we facilitated 17 Panel, Sub-Committee and Working Group meetings.
- The Change Sub-Committee annual election concluded. The results of the election are published [here](#).

5.4 Party Engagement Update

- We hosted a webinar on the key SEC website features which was highly successful due to the positive feedback received. SECAS provided live demonstrations of key webpages such as the [SEC Glossary](#) and [Open Industry Consultations webpage](#).
- The latest SEC Newsletter received 470 views. You can register to receive the monthly SEC Newsletter by using the registration form at the bottom-right of this [webpage](#). All previous newsletters can be viewed [here](#).

The [SECAS Helpdesk](#) offers an email and telephone service to anyone requiring advice. Most contact is made by email, with volumes illustrated in Figure 3. We received 15 telephone queries over the past month.

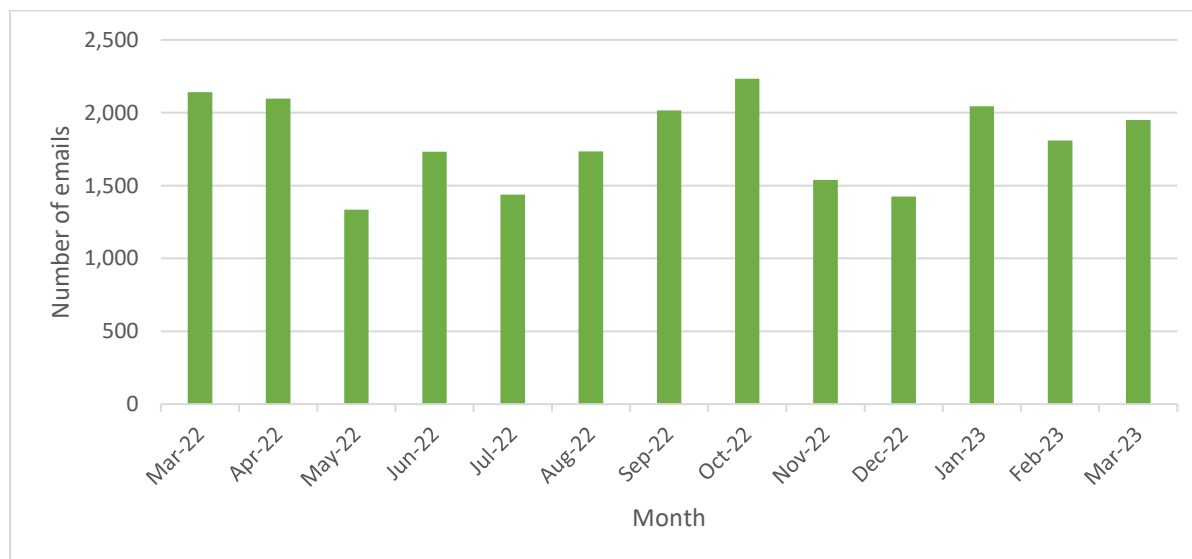


Figure 3: SECAS Helpdesk Emails over the past 12 months

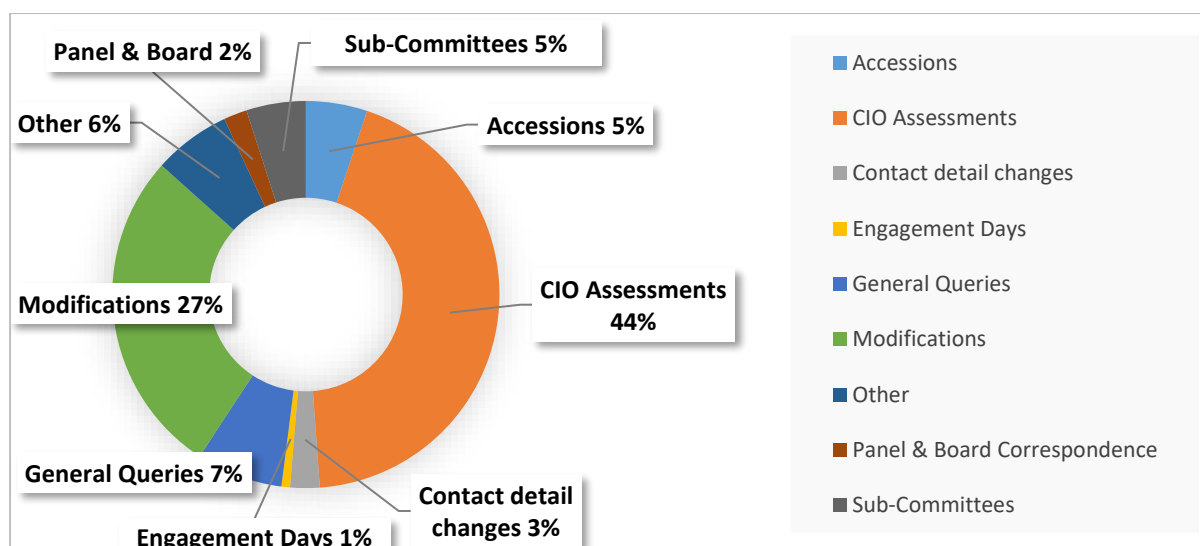


Figure 4: The number of SECAS Helpdesk emails received per topic in the previous month

- The SECAS website remains popular with users with over 31,800 page visits in March 2023. The top five viewed pages in the month were:
 - [Home Page](#)
 - [Modifications](#) - (Modifications Tracker)
 - [The Smart Energy Code](#) - (Codeworks, Consolidated SEC & SEC Document Log)
 - [Document Download Centre](#)
 - [My Login](#)

5.5 Technical Operations Update

The Technical Operations team in SECAS provides support to the Panel and its Sub-Committees. This month we have continued to coordinate planning with the DCC to ensure that it completes the required level of engagement on the DCC Service Management System (DSMS) and 4G Communications Hub Order Management System (OMS) and Logistics programmes with each of the Sub-Committees prior to discussion by the Panel.

The Technical Operations team worked with the DCC and the Panel to define the assessment activity required for the DSMS. The OPSG carried out an assessment of the SEC requirements that the DSMS currently fulfils, to identify any existing requirements which are no longer needed, along with any new requirements which need to be included. The TABASC has analysed any variances from the current SEC requirements that the OPSG identifies to understand why any variances exist, and review how the DCC plans to manage any constraints from a technical perspective.

The team also oversees the production and publication of several Products lists. The graph below sets out the number of SEC product list versions which SECAS has published over the past 12 months by type. This month the DPL was the most produced list:

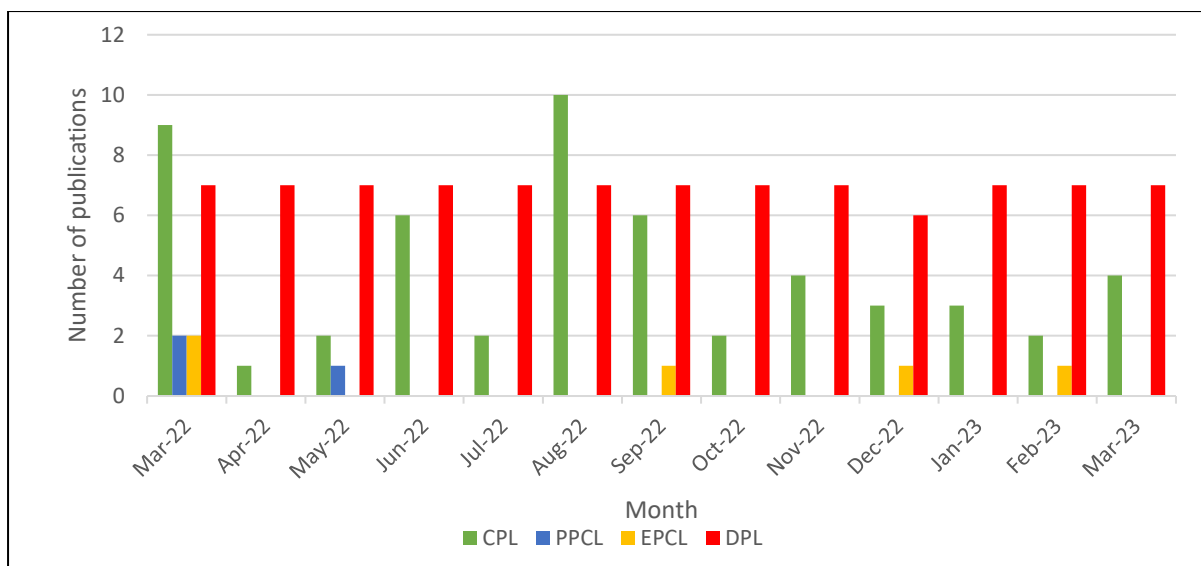


Figure 5: The number of lists that SECAS has produced each month, over the past 12 months

Useful links: [Central Products List](#), [Pending Products Combination List](#), [Eligible Products Combination List](#) and [Deployed Products List](#)

The Performance Measures Review Group (PMRG), a sub-group of the OPSG, had its final meeting in March to discuss the methodology of CSP Performance measures; a number of clarifications from DCC were sought and some actions were not concluded in this final meeting. As a result there will be a discussion in the OPSG 93 reporting meeting to determine next steps for resolution of PMRG.

5.6 DCC SEC Panel Reports Summary

The Operations Group (OPSG) conduct a monthly review of the DCC SEC Panel Reports that have been delegated by SEC for the OPSG to approve or reject the commentary and raise any further issues that should be highlighted to the Panel. The Security Sub-Committee also reviews the Post-Commissioning Report.

Post-Commissioning Report

The DCC will present the latest Post-Commissioning Report for March 2023 to the SSC on 26 April. This report has a **RED** classification and distribution is limited to the SEC Panel only provided as Appendix A – Post Commissioning Information Report (March 2023) (**RED**).

Registration Data Provider (RDP) Incidents

The DCC is required to provide a monthly report related to the time it has taken to resolve RDP Incidents where the DCC is responsible for resolution, but RDP input is required to do so (SEC Appendix AG 2.5.10).

The DCC communicated to SEC Parties in September 2022 that all aged RDP Incidents have now been closed and that any Registration Data related Incidents are now being managed under the Central Switching Service (CSS) process and REC Governance. SECAS have been in discussion with the DCC to seek clarification on how incidents relating to registration data are reported and reviewed post CSS go live. DCC have now confirmed that OPSG will have visibility of Registration Data Incidents that have been raised by the DCC.

Registration Data Incidents – February 2023

- There were 20 new Incidents opened in the month of February.
- 11 have been resolved and 9 remain open pending Registration Data correction.
- 13 Incidents were reported as resolved within the month.

Performance Measurement Report (PMR)

In January 2023 There was one Code Performance Measure (CPMs) below Target Service Level: CPM1 measures Percentage of On-Demand Service Responses delivered within the applicable TRT, the Service Level achieved was 98.71% and was below the Target Service Level. The report notes that there were no Category 1 or 2 Incidents closed in January 2023. No Incidents were excluded from the January 2023 performance. The Service Levels achieved for CPM2, CPM3, CPM4, CPM5, CPM6, CPM7 and CPM8 are all above the Target Service Level. All Service Provider Performance Measures were above target service level in January 2023.

DCC SEC Panel Quarterly Reports

There were no reports in January 2023 for the following reports as they are provided quarterly:

- DCC Responsible Communications Hub Returns Report
- Service Request (SR) Variance Reporting
- Quarterly Problem Report

5.7 Smart Meter Device Assurance (SMDA) Update

- There are currently 39 Devices on the DAR split out by device type as:
 - 25 ESMEs
 - Six PPMIDs
 - Eight GSMEs
- Two Devices are currently within testing.
- Three Devices expected to be submitted for testing.
- Two DCC Comms Hubs are due to be submitted end of April.

5.8 SEC Meeting Details

The table below contains links to the [SEC meetings](#) (and their associated documents) held in **March 2023**:

Date	Meeting
1 March	SEC Monthly Working Group Meeting - March 2023
2 March	Technical Architecture and Business Architecture Sub-Committee Meeting 87
2 March	Monthly Small Supplier Engagement Call - 2 March 2023
8 March	Smart Metering Key Infrastructure Policy Management Authority Meeting 103
8 March	Security Sub-Committee Meeting 165
14 March	Operations Group 90
15 March	How to Maximise your SEC Website Experience Webinar
16 March	Prepayment Metering Forum (PPMF) Meeting
17 March	Communications Transition Group 2
21 March	Change Sub-Committee Meeting 49
22 March	SEC Ad-Hoc Working Group Meeting - March 2023
22 March	Security Sub-Committee Meeting 166
24 March	Other SEC Party Monthly Engagement Call – March 2023
27 March	Operations Group 91
28 March	SEC Panel Meeting 114
29 March	Testing Advisory Group Meeting 100
29 March	Change Board Meeting 76

Table 2: A summary of all SEC meetings held in the previous month

5.9 Programme Assurance Reporting

The purpose of this report is to update the SEC Panel and SEC Parties monthly on the status of the DCC Programmes. It outlines the activity carried out since the last update, key milestones and planned engagement over the next quarter. It also outlines any changes to the stages of the Programmes and any artefacts received since the last update as part of the programme life-cycle. The report is provided as Appendix B.